

Subsection F of Form 1469a (04/02/03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
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Complete if Known

**Application Number** 10/723,518  
**Filing Date** November 25, 2003  
**First Named Inventor** ROTH, Richard B.  
**Group Art Unit** 1634  
**Examiner Name** SITTON, Jehanne S.

Attorney Docket No: SEQ-4068-UT

Sheet 1 of 2

**US PATENT DOCUMENTS**

Examiner Initials *	Cite No. 1	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
A1.		2005-0192239A1	09/01/2005	Roth et al.	
A2.		2005-0272043A1	12/08/2005	Roth et al	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. 1	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
A3.		WO 06062716	06/16/2006	Hoh		
A4.		WO 06096561	09/14/2006	Haines et al		
A5.		WO 06096737	09/14/2006	Farrer and Edwards		

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
A6.		Edwards et al., "Complement Factor H Polymorphism and Age-Related Macular Degeneration," <i>Science</i> 308:421-424, April 15, 2005	
A7.		GeneCard for DPF3. Available online at <a href="http://www.genecard.com">www.genecard.com</a> , p. 1-24	
A8.		Hacker et al., "Lack of association between an interleukin-1 receptor antagonist gene polymorphism and ulcerative colitis," <i>GUT</i> , 1997, Vol. 40, pages 623-627	
A9.		Hageman et al., "A common haplotype in the complement regulatory gene factor H (HF1/CFH) predisposes individuals to age-related macular degeneration," <i>PNAS</i> May 17, 2005, 102(20):7227-7232	
A10.		Haines et al., "Complement Factor H Variant Increases the Risk of Age-Related Macular Degeneration," <i>Science</i> 308:419-421, April 15, 2005	
A11.		Hara et al., "Hepatocyte Nuclear Factor-4a P2 Promoter Haplotypes Are Associated with Type 2 Diabetes in the Japanese Population," <i>Diabetes</i> 55:1260-1264, May 2006	
A12.		Hegele et al., "SNP judgments and freedom of association," <i>Arterioscler Thromb Vasc Biol</i> , 2002;33:1058-1061	
A13.		Hoyal et al., "Genetic polymorphisms in DPF3 associated with risk of breast cancer and lymph node metastases," <i>J. Carcinogenesis</i> , 2005, Vol. 4:13:1-9	
A14.		Klein et al., "Complement Factor H Polymorphism in Age-Related Macular Degeneration," <i>Science</i> 308:385-389, April 15, 2005	
A15.		Kroese et al., "Genetic tests and their evaluation: can we answer the key questions?" <i>Genetics in Medicine</i> , vol 6 (2004), p. 475-480	
A16.		Lin and Liu, "Linkage and association analyses of microsatellites and single-nucleotide polymorphisms in nuclear families," <i>BMC Genetics</i> , 6:S25:1-5 December 30, 2005	

**EXAMINER****DATE CONSIDERED**

Substitute for Form 1468a (GPO)

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<b>Examiner Name</b>	SITTON, Jehanne S.

Sheet 2 of 2

Attorney Docket No: SEQ-4068-UT

**OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
A17.		Malhotra et al; "Pharmacogenetics of Psychotropic Drug Response." Am. J. Of Psychiatry, vol. 161, pages 780-796, May 2004	
A18.		Murimilli et al., "Evolution of human and non-human primate CC chemokine receptor 5 gene and mRNA. Potential roles for haplotype and mRNA diversity, differential haplotype-specific transcriptional activity, and altered transcription factor binding to polymorphic nucleotides in the pathogenesis of HIV-1 and simian immunodeficiency virus". J Biol. Chem. 2000, Jun 23; 275(25):18946-18961	
A19.		Pennisi, "A Closer Look at SNPs Suggests Difficulties." Science, 1998, 281 (5384):1787-1789	
A20.		refSNP for rs1990440, available online at <a href="http://www.ncbi.nlm.nih.gov">www.ncbi.nlm.nih.gov</a> , pages 1-4	
A21.		Thisted et al: "What is a P-Value?" 1998, from the internet at <a href="http://galston.uchicago.edu/~thisted/">galston.uchicago.edu/~thisted/</a> pages 1-6	
A22.		Vazza et al., "Genome-Wide scan supports the existence of a susceptibility locus for schizophrenia and bipolar disorder on chromosome 15q26," Molecular Psychiatry 12, 87-93, 2007	
A23.		Xiao-Lin et al., "A novel nuclear-encoded mitochondrial poly(A) polymerase PAPD1 is a potential candidate gene for the extreme obesity related phenotypes in mammals," Int. J. Biol. Sci. 2006 2(4):171-178	
A24.		Zareparsi et al., "Strong Association of the Y402H Variant in Complement Factor H at 1q32 with Susceptibility to Age-Related Macular Degeneration," Am. J. Hum. Genet. 77:149-153, 2005	

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-4469)

\* EXAMINER: Initial if reference constitutes, whether or not utilized, in conformance with 35 U.S.C. 102(e). Draw line through citation of prior art, if conformed to and not considered. Include copy of 954 form with next communication to applicant. Applicant's written statement designating number (rejection) - AppSanc is to place a check mark here if English language translation is attached.